

Date: 28-Jun-2021 No.: ETR21602388

NANTONG HORNBY ELECTRONIC CO.,LTD SMARTENGRAND LTD.

NO.8, XING YUAN ROAD, RUCHENG INDUSTRIAL ZONE, RUGAO CITY, JIANGSU PROVINCE, P. R. C. NO. 168, SEC. 2, ZHONGZHENG ROAD, SANXIA DISTRICT, NEW TAIPEI CITY, TAIWAN, R. O. C.

The following sample(s) was/were submitted and identified by/on behalf of the applicant as:

Sample Submitted By NANTONG HORNBY ELECTRONIC CO.,LTD

Sample Name GLASS SLEEVE . LEAD WIRE

Used For. DIODE (DO-34,DO-35,DO-41G,LS-31(Micro-MELF),LS-34(Quadro-MELF),

LL-34(Mini-MELF), LL-41(MELF))

15-Jun-2021 Sample Receiving Date

Testing Period 15-Jun-2021 to 24-Jun-2021

As specified by client, with reference to RoHS 2011/65/EU Annex II and amending **Test Requested**

Directive (EU) 2015/863 to determine Cadmium, Lead, Mercury, Cr(VI), PBBs, PBDEs,

DBP, BBP, DEHP, DIBP contents in the submitted sample(s).

Test Results Please refer to following pages.

Conclusion Based on the performed tests on submitted sample(s) and the declaration from the

applicant, the test results of Cadmium, Lead, Mercury, Cr(VI), PBBs, PBDEs, DBP, BBP,

DEHP, DIBP comply with the limits as set by RoHS Directive (EU) 2015/863

amending Annex II to Directive 2011/65/EU with the exemption of Annex III 7(c)-I

[No.1].





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Test Part Description

No.1 : TRANSPARENT GLASS (GLASS SLEEVE)

No.2 : COPPER COLORED METAL (INCLUDING THE PLATING LAYER) (LEAD WIRE)

Test Result(s)

| Test Item(s) | Method | Unit | MDL | Result | | Limit |
|-----------------------------------|--|--------|-----|--------|------|-------|
| | | | | No.1 | No.2 | ŀ |
| Cadmium (Cd) (CAS No.: 7440-43- | With reference to IEC 62321-5: 2013, | mg/kg | 2 | n.d. | n.d. | 100 |
| 9) | analysis was performed by ICP-OES. | | | | | |
| Lead (Pb) (CAS No.: 7439-92-1) | With reference to IEC 62321-5: 2013, | mg/kg | 2 | 521000 | | (*E) |
| | analysis was performed by ICP-OES. | | | | | |
| Lead (Pb) (CAS No.: 7439-92-1) | With reference to IEC 62321-5: 2013, | mg/kg | 2 | | n.d. | 1000 |
| | analysis was performed by ICP-OES. | | | | | |
| Mercury (Hg) (CAS No.: 7439-97-6) | With reference to IEC 62321-4: 2013+ | mg/kg | 2 | n.d. | n.d. | 1000 |
| | AMD1: 2017, analysis was performed | | | | | |
| | by ICP-OES. | | | | | |
| Hexavalent Chromium Cr(VI) (CAS | With reference to IEC 62321-7-2: 2017, | mg/kg | 8 | n.d. | | 1000 |
| No.: 18540-29-9) | analysis was performed by UV-VIS. | | | | | |
| | | | | | | |
| Hexavalent Chromium Cr(VI) (CAS | With reference to IEC 62321-7-1: 2015, | μg/cm² | 0.1 | | n.d. | - |
| No.: 18540-29-9) (#2) | analysis was performed by UV-VIS. | | | | | |
| Monobromobiphenyl | | mg/kg | 5 | n.d. | n.d. | - |
| Dibromobiphenyl | | mg/kg | 5 | n.d. | n.d. | - |
| Tribromobiphenyl | | mg/kg | 5 | n.d. | n.d. | - |
| Tetrabromobiphenyl | | mg/kg | 5 | n.d. | n.d. | - |
| Pentabromobiphenyl | With reference to IEC 62321-6: 2015, analysis was performed by GC/MS. | mg/kg | 5 | n.d. | n.d. | - |
| Hexabromobiphenyl | | mg/kg | 5 | n.d. | n.d. | - |
| Heptabromobiphenyl | | mg/kg | 5 | n.d. | n.d. | - |
| Octabromobiphenyl | | mg/kg | 5 | n.d. | n.d. | - |
| Nonabromobiphenyl | | mg/kg | 5 | n.d. | n.d. | - |
| Decabromobiphenyl | | mg/kg | 5 | n.d. | n.d. | - |
| Sum of PBBs | | mg/kg | - | n.d. | n.d. | 1000 |

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| Test Item(s) | Method | Unit | MDL | Result | | Limit |
|------------------------------------|--|-------|-----|--------|------|-------|
| | | | | No.1 | No.2 | |
| Monobromodiphenyl ether | | mg/kg | 5 | n.d. | n.d. | - |
| Dibromodiphenyl ether | | mg/kg | 5 | n.d. | n.d. | - |
| Tribromodiphenyl ether | With reference to IEC 62321-6: 2015, analysis was performed by GC/MS. | mg/kg | 5 | n.d. | n.d. | - |
| Tetrabromodiphenyl ether | | mg/kg | 5 | n.d. | n.d. | - |
| Pentabromodiphenyl ether | | mg/kg | 5 | n.d. | n.d. | - |
| Hexabromodiphenyl ether | | mg/kg | 5 | n.d. | n.d. | - |
| Heptabromodiphenyl ether | | mg/kg | 5 | n.d. | n.d. | - |
| Octabromodiphenyl ether | | mg/kg | 5 | n.d. | n.d. | - |
| Nonabromodiphenyl ether | | mg/kg | 5 | n.d. | n.d. | - |
| Decabromodiphenyl ether | | mg/kg | 5 | n.d. | n.d. | - |
| Sum of PBDEs | | mg/kg | ı | n.d. | n.d. | 1000 |
| Butyl benzyl phthalate (BBP) (CAS | With reference to IEC 62321-8: 2017, | mg/kg | 50 | n.d. | n.d. | 1000 |
| No.: 85-68-7) | analysis was performed by GC/MS. | | | | | |
| Dibutyl phthalate (DBP) (CAS No.: | With reference to IEC 62321-8: 2017, | mg/kg | 50 | n.d. | n.d. | 1000 |
| 84-74-2) | analysis was performed by GC/MS. | | | | | |
| Di-(2-ethylhexyl) phthalate (DEHP) | With reference to IEC 62321-8: 2017, | mg/kg | 50 | n.d. | n.d. | 1000 |
| (CAS No.: 117-81-7) | analysis was performed by GC/MS. | | | | | |
| Diisobutyl phthalate (DIBP) (CAS | With reference to IEC 62321-8: 2017, | mg/kg | 50 | n.d. | n.d. | 1000 |
| No.: 84-69-5) | analysis was performed by GC/MS. | | | | | |

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Note:

- 1. mg/kg = ppm; 0.1wt% = 1000ppm
- 2. MDL = Method Detection Limit
- 3. n.d. = Not Detected (Less than MDL)
- 4. "-" = Not Regulated
- 5. "---" = Not Conducted
- 6. (#2) =
 - a. The sample is positive for Cr(VI) if the Cr(VI) concentration is greater than 0.13 μ g/cm². The sample coating is considered to contain Cr(VI).
 - b. The sample is negative for Cr(VI) if Cr(VI) is n.d. (concentration less than 0.10 μ g/cm²). The coating is considered a non-Cr(VI) based coating
 - c. The result between 0.10 μ g/cm² and 0.13 μ g/cm² is considered to be inconclusive unavoidable coating variations may influence the determination.
- 7. The statement of compliance conformity is based on comparison of testing results and limits.
- 8. (*E): By client's claim, it is on the RoHS exemption list.

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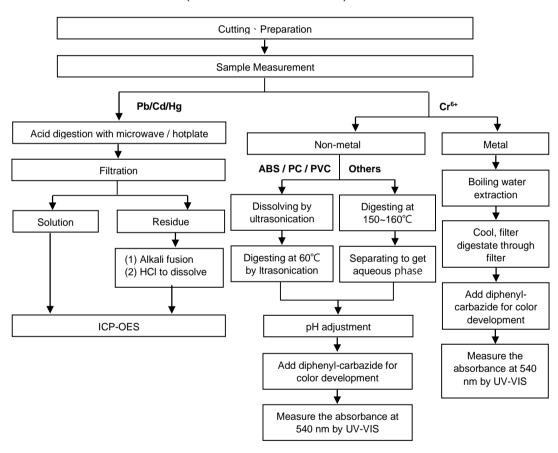
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Analytical flow chart of Heavy Metal

These samples were dissolved totally by pre-conditioning method according to below flow chart.

(Cr6+ test method excluded)



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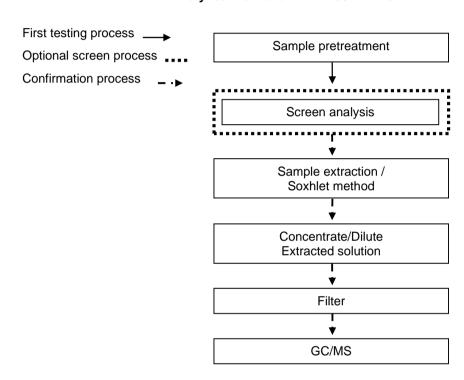
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Analytical flow chart - PBBs / PBDEs



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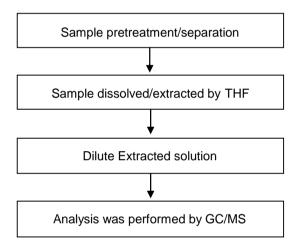
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Analytical flow chart - Phthalate

[Test method: IEC 62321-8]



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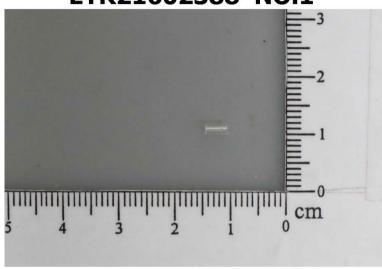
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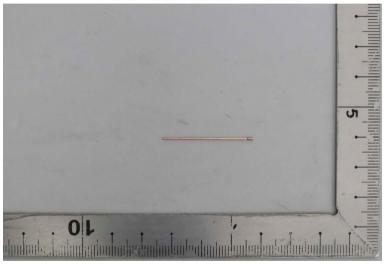
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* The tested sample / part is marked by an arrow if it's shown on the photo. *

ETR21602388 NO.1



ETR21602388 NO.2



** End of Report **

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